



**“WORTHLESS SECURITY”
CUSTOMER INSTRUCTION AND ACKNOWLEDGEMENT**

Client Name	Account Number
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Your broker is unable to locate a ready market or an independent transfer agent for the securities listed below to conduct a security transfer. As there is no market or transfer agent for these securities, you may request Electronic Transaction Clearing, Inc. (“ETC”) to sell the securities from your account for one penny (\$0.01) for the lot (i.e., all securities listed below). The securities will be sold to ETC’s “worthless securities account.” You will have no further claim to the securities and ETC makes no claims or predictions concerning the value of the securities.

It is your decision alone whether to sell your securities to ETC’s “worthless securities account,” which is completely voluntary. This option is being provided for your convenience by your Broker and ETC in order for you to possibly receive a tax loss on these securities. Please consult with your tax advisor to determine the tax consequences of the sale of your securities to ETC. Your Broker, or ETC, does not offer tax or legal advice.

QUANTITY	SECURITY DESCRIPTION	SYMBOL/CUSIP

[ACKNOWLEDGEMENT AND SIGNATURES TO FOLLOW]

ACKNOWLEDGEMENT

You hereby agree to sell to ETC, and request that ETC purchase, the securities described above in the quantity stated, for a purchase price of one penny (\$0.01) for the lot. You represent that you are the owner, free and clear, of the securities described above in the quantity stated.

You further agree to indemnify, defend, and hold ETC harmless from and against any and all claims, demands, causes of action, obligations, and liabilities of any kind which arise out of, or are in any manner connected with or related to, this sale. You also release ETC from any liability in connection with such sale.

Signature: _____

Name: _____

Title: _____

Date: _____

APPROVAL

Signature: _____

Name: _____

Title: _____

Date: _____