

**Agreement Limiting Interactive Brokers'
Liability for Decisions and Actions of your
Independent Financial Advisor.**

In consideration for maintaining a brokerage account at Interactive Brokers, Customer agrees:

I UNDERSTAND THAT MY FINANCIAL ADVISOR IS INDEPENDENT FROM INTERACTIVE BROKERS ("IB") AND IS NOT AN EMPLOYEE OR AN AGENT OF IB. I AGREE THAT INTERACTIVE BROKERS IS NOT LIABLE FOR THE TRADING DECISIONS OR ACTIONS OF MY ADVISOR AND I AGREE NOT TO BRING LAWSUITS OR CLAIMS OF ANY KIND AGAINST IB FOR ACTIONS OR TRADING DECISIONS OF MY ADVISOR.

I UNDERSTAND THAT INTERACTIVE BROKERS' ONLY ROLE IS TO EXECUTE TRADES ORDERED BY MY ADVISOR AND HOLD MY POSITIONS IN AN IB ACCOUNT. I AGREE THAT SINCE IB DOES NOT RECOMMEND TRADES OR GIVE ADVICE, IB WILL NOT CONDUCT ANY "SUITABILITY" REVIEW TO MAKE SURE THAT MY ADVISOR'S TRADING DECISIONS ARE SUITABLE FOR MY CIRCUMSTANCES AND OBJECTIVES.

I SELECTED MY ADVISOR PERSONALLY. I UNDERSTAND THAT INTERACTIVE BROKERS DOES NOT RESEARCH OR RECOMMEND PARTICULAR FINANCIAL ADVISORS. I UNDERSTAND THAT IB HAS NOT RECOMMENDED OR INVESTIGATED MY ADVISOR.

SINCE INTERACTIVE BROKERS IS NOT PROVIDING ANY ADVICE TO ME, IF MY ACCOUNT GENERATES PROFITS, I WILL NOT HAVE TO SHARE THE PROFITS WITH IB. LIKEWISE I AGREE THAT IF MY ACCOUNT SUFFERS LOSSES, INTERACTIVE BROKERS WILL NOT REPAY OR BE RESPONSIBLE FOR MY LOSSES.

I AGREE THAT INTERACTIVE BROKERS DOES NOT SEE MY ADVISOR'S COMMUNICATIONS TO ME AND IS NOT RESPONSIBLE FOR STATEMENTS OR INFORMATION COMING TO ME FROM MY ADVISOR. I AGREE TO LOGIN TO THE IB WEBSITE OR TO CONTACT IB IF I WANT TO VERIFY ANY ACCOUNT OR PROFIT/LOSS INFORMATION GIVEN TO ME BY MY ADVISOR.