



“Penny for the Lot” Customer Instruction and Acknowledgement

Your Broker is unable to locate a ready market or an independent transfer agent for the securities listed below to conduct a security transfer. As there is no market or transfer agent for these securities, you may request Vision Financial Markets LLC to sell the securities from your account for one penny (\$.01) for the lot (i.e., all securities listed below). The securities will be sold to Vision’s “worthless securities account”. You will have no further claim to the securities and Vision makes no claims or predictions concerning the future value of the securities.

It is your decision alone whether to sell your securities to Vision’s “worthless securities account”, which is completely voluntary. This option is being provided for your convenience by Vision in order for you to possibly receive a tax loss on these securities. Please consult with your tax advisor to determine the tax consequences of the sale of your securities to Vision. Vision does not offer tax or legal advice.

Account Number: _____

Quantity	Security Description	Symbol/CUSIP

You hereby agree to sell to Vision, and request that Vision purchase, the securities described above in the quantity stated, for a purchase price of one penny (\$.01) for the lot. You represent that you are the owner, free and clear, of the securities described above in the quantity stated,

You further agree to indemnify, defend and hold Vision harmless from and against any and all claims, demands, causes of action, obligations and liabilities of any kind which arise out of, or are in any manner connected with or related to, this sale. You also release Vision from any liability in connection with such sale.

Please Sign Below:

X

Customer Signature

Customer Name

Date

X

Additional Customer Signature

Additional Customer Name

Date

Correspondent Use Only:

X

GSP Signature

GSP Name

Date

Vision Internal Use Only:

Approved By

Date